

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/20/00
JC853 U.S. PTO

Applicant: Herbert Howell Waddell

Serial No.: Not yet assigned

Filed: Herewith

For: Apparatus for Gathering, Picking up and Carrying Loose Materials

JC853 U.S. PTO
09/693239
10/20/00

98 Robbins Avenue
Berkeley Heights, New Jersey 07922
October 20, 2000

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EK 570126987 US
Date of deposit: 10-20-2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Herbert Howell Waddell
Printed Name: Herbert Howell Waddell

Respectfully submitted,

H. H. Waddell
H. H. Waddell

10/20/00
JC845 U.S. PTO

10-23-00

Herbert H. Waddell
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October 20, 2000

Commissioner of Patents and Trademarks
Washington, DC 20231

Re: Application for U.S. Patent
Inventor's Docket IP-902

Dear Sir:

Enclosed you will find the following items relating to a patent application, titled "Apparatus for Gathering, Picking up and Carrying Loose Materials":

1. Specification with claims.
2. Drawings - one sheet.
3. Inventor's Declaration.
4. Money order in the amount of \$355.00.
5. Statement Claiming Small Entity Status.
6. Certificate of Mailing - Express Mail No. EK5101269870 dated October 20, 2000.

I trust you will find these items in order.

Sincerely yours,


H. H. Waddell

enc.

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: Herbert Howell Waddell (H. H. Waddell)Application or Patent No.: Not yet assigned

Filed or Issued:

Title: Apparatus for gathering, picking up and carrying loose materials

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

the specification filed herewith with title as listed above.
 the application identified above.
 the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization exists.
 Each such person, concern or organization is listed below:

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Herbert Howell Waddell

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Herbert Howell Waddell

Signature of Inventor

Signature of Inventor

Signature of Inventor

October 20, 2000

Date

Date

Date